



A-9859A  
PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Casey K. Lee

Appln. No.: 10/779,873

Group Art Unit: 3738

Filed: February 18, 2004

For: INTERVERTEBRAL DISC AND NUCLEUS PROSTHESIS

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INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. § 1.56, and without any assertion as to materiality or prior art effect, the documents listed on the attached Form PTO-1449 are hereby cited.

Respectfully submitted,

By:   
Mitchell W. Shapiro  
Reg. No. 31,568

MWS:lmb

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June 18, 2004

FORM PTO-1449				Atty. Docket No.	Appln. No.		
LIST OF DOCUMENTS CITED BY APPLICANT				A-9859A	10/779,873		
 JUN 18 2004				Applicant			
				Casey K. LEE			
				Filing Date	Group		
				February 18, 2004	3738		
U.S. PATENT DOCUMENTS							
Examiner Initial		Document Number	Date	Name	Class	Sub-class	Filing Date
	AA	3,875,595	4/8/75	Froning	3	1	
	AB	4,863,477	9/5/89	Monson	623	17	
	AC	4,932,969	6/12/90	Frey et al.	623	17	
	AD	4,772,287	9/20/88	Ray et al.	623	17	
	AE	4,904,260	2/27/90	Ray et al.	623	17	
	AF	5,824,093	10/20/98	Ray et al.	623	17	
	AG	5,047,055	9/10/91	Bao et al.	623	17	
	AH	5,534,028	7/9/96	Bao et al.	623	17	
	AI	5,171,281	12/15/92	Parsons et al.	623	17	
	AJ	5,192,326	3/9/93	Bao et al.	623	17	
	AK	5,123,926	6/23/92	Pisharodi	623	17	
FOREIGN PATENT DOCUMENTS							
Examiner Initial		Document Number	Date	Country	Class	Sub-class	Translation
	AL						
	AM						
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OTHER (including author, title, date, pertinent pages, etc.)							
	AT						
Examiner				Date Considered			
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.							

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## U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Sub-class	Filing Date
	BA	<b>6,187,048</b>	<b>2/13/01</b>	<b>Milner et al.</b>	<b>623</b>	<b>17.12</b>	
	BB	<b>4,349,921</b>	<b>9/21/82</b>	<b>Kuntz</b>	<b>3</b>	<b>1</b>	
	BC	<b>5,976,186</b>	<b>11/2/99</b>	<b>Bao et al.</b>	<b>623</b>	<b>17</b>	
	BD	<b>5,545,229</b>	<b>8/13/96</b>	<b>Parsons et al.</b>	<b>623</b>	<b>17</b>	
	BE	<b>5,514,180</b>	<b>5/7/96</b>	<b>Heggeness et al.</b>	<b>623</b>	<b>17</b>	
	BF	<b>5,893,889</b>	<b>4/13/99</b>	<b>Harrington</b>	<b>623</b>	<b>17</b>	
	BG	<b>5,401,269</b>	<b>3/28/95</b>	<b>Büttner-Janz et al.</b>	<b>623</b>	<b>17</b>	
	BH	<b>5,556,431</b>	<b>9/17/96</b>	<b>Büttner-Janz</b>	<b>623</b>	<b>17</b>	
	BI	<b>5,314,477</b>	<b>5/24/94</b>	<b>Marnay</b>	<b>623</b>	<b>17</b>	
	BJ	<b>5,071,437</b>	<b>12/10/91</b>	<b>Steffee</b>	<b>623</b>	<b>17</b>	
	BK	<b>6,348,071</b>	<b>2/19/02</b>	<b>Steffee et al.</b>	<b>623</b>	<b>17.15</b>	

## FOREIGN PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Country	Class	Sub-class	Translation
	BL						
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## OTHER (including author, title, date, pertinent pages, etc.)

Examiner	BT	Date Considered
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			Filing Date <b>February 18, 2004</b>	Group <b>3738</b>

## U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Sub-class	Filing Date
	CA	<b>5,534,030</b>	<b>7/9/96</b>	<b>Navarro et al.</b>	<b>623</b>	<b>17</b>	
	CB	<b>6,410,704</b>	<b>6/25/02</b>	<b>Roizman et al.</b>	<b>536</b>	<b>23.2</b>	
	CC	<b>6,162,252</b>	<b>12/19/00</b>	<b>Kuras et al.</b>	<b>623</b>	<b>17.16</b>	
	CD	<b>6,527,804</b>	<b>3/4/03</b>	<b>Gauchet et al.</b>	<b>623</b>	<b>17.12</b>	
	CE	<b>6,395,032</b>	<b>5/28/02</b>	<b>Gauchet</b>	<b>623</b>	<b>17.12</b>	
	CF	<b>6,579,320</b>	<b>6/17/03</b>	<b>Gauchet et al.</b>	<b>623</b>	<b>17.15</b>	
	CG	<b>5,683,465</b>	<b>11/4/97</b>	<b>Shinne et al.</b>	<b>623</b>	<b>17</b>	
	CH	<b>5,548,642</b>	<b>10/17/95</b>	<b>Beer et al.</b>	<b>623</b>	<b>17</b>	
	CI	<b>5,824,094</b>	<b>10/20/98</b>	<b>Serhan et al.</b>	<b>623</b>	<b>17</b>	
	CJ	<b>5,674,296</b>	<b>10/7/97</b>	<b>Bryan et al.</b>	<b>623</b>	<b>17</b>	
	CK	<b>2001/0016773</b>	<b>8/23/01</b>	<b>Serhan et al.</b>	<b>623</b>	<b>17.15</b>	

## FOREIGN PATENT DOCUMENTS

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	CL						
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## OTHER (including author, title, date, pertinent pages, etc.)

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				February 18, 2004	3738		
<b>U.S. PATENT DOCUMENTS</b>							
Examiner Initial		Document Number	Date	Name	Class	Sub-class	Filing Date
	DA	2002/0022888	2/21/02	Serhan et al.	623	17.16	
	DB	5,865,846	2/2/99	Bryan et al.	623	17	
	DC	6,156,067	12/5/00	Bryan et al.	623	17.15	
	DD	6,113,637	9/5/00	Gill et al.	623	17	
	DE	5,258,031	11/2/93	Salib et al.	623	17	
	DF	6,315,797	11/13/01	Middleton	623	17.16	
	DG	6,296,664	10/2/01	Middleton	623	17.15	
	DH	6,136,031	10/24/00	Middleton	623	17	
	DI	2001/0051829	12/13/01	Middleton	623	17.16	
	DJ	5,888,226	3/30/99	Rogozinski	623	17	
	DK	6,419,706	7/16/02	Graf	623	17.16	
<b>FOREIGN PATENT DOCUMENTS</b>							
Examiner Initial		Document Number	Date	Country	Class	Sub-class	Translation
	DL						
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	DT						
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**U.S. PATENT DOCUMENTS**

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	EA	<b>6,419,704</b>	<b>7/16/02</b>	<b>Ferree</b>	<b>623</b>	<b>17.12</b>	
	EB	<b>2003/0045939</b>	<b>3/6/03</b>	<b>Casutt</b>	<b>623</b>	<b>17.15</b>	
	EC	<b>2003/0135277</b>	<b>7/17/03</b>	<b>Bryan et al.</b>	<b>623</b>	<b>17.12</b>	
	ED	<b>2002/0077701</b>	<b>6/20/02</b>	<b>Kuslich</b>	<b>623</b>	<b>17.12</b>	
	EE	<b>4,911,718</b>	<b>3/27/90</b>	<b>Lee et al.</b>	<b>623</b>	<b>17</b>	
	EF	<b>6,582,466</b>	<b>6/24/03</b>	<b>Gauchet</b>	<b>623</b>	<b>17.11</b>	
	EG	<b>6,187,043</b>	<b>2/13/01</b>	<b>Ledergerber</b>	<b>623</b>	<b>8</b>	
	EH	<b>5,674,294</b>	<b>10/7/97</b>	<b>Bainville et al.</b>	<b>623</b>	<b>17</b>	
	EI	<b>6,582,467</b>	<b>6/24/03</b>	<b>Teitelbaum et al.</b>	<b>623</b>	<b>17.11</b>	
	EJ	<b>5,562,738</b>	<b>10/8/96</b>	<b>Boyd et al.</b>	<b>623</b>	<b>17</b>	
	EK						

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	EL						
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